



USA



INVESTOR IN PEOPLE

CERTIFIED COPY OF PRIORITY DOCUMENT

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

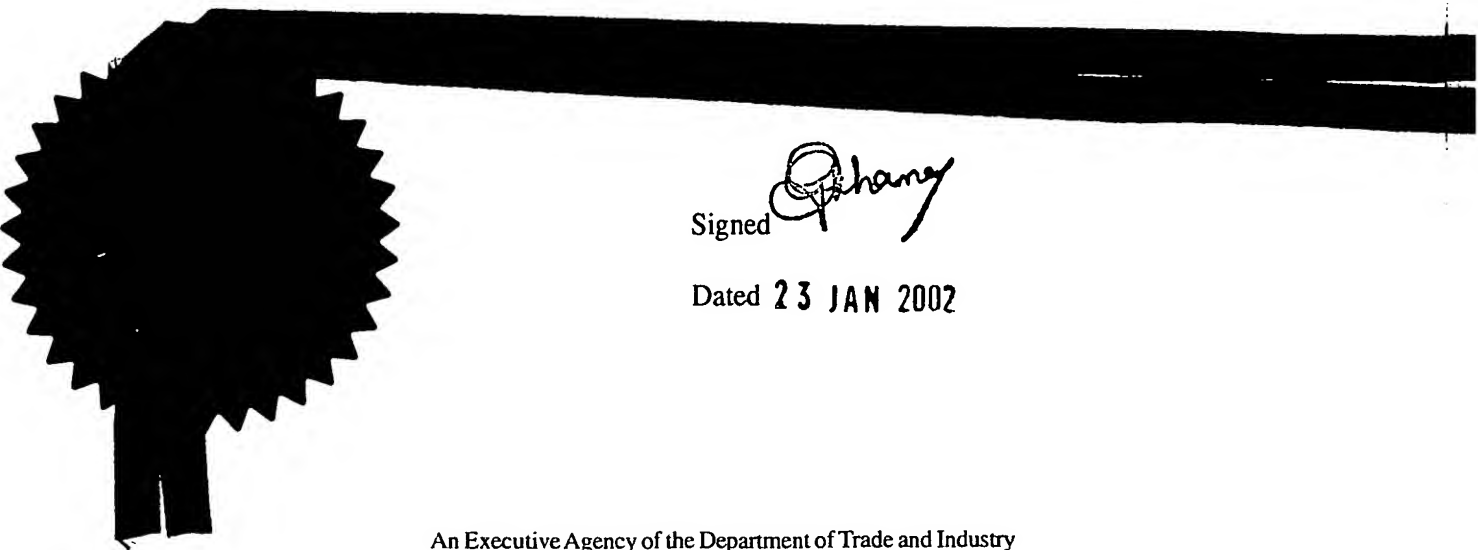


I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation and Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein together with the Statement of inventorship and of right to grant of a Patent (Form 7/77), which was subsequently filed.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

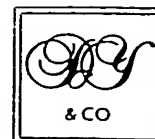
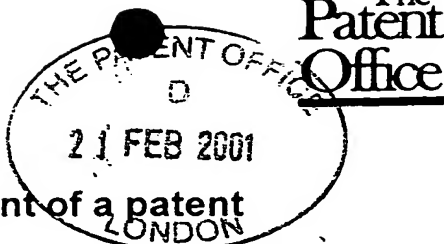
Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed 

Dated 23 JAN 2002

THIS PAGE BLANK (USPTO)



The Patent Office

Cardiff Road
Newport
Gwent NP9 1RH

Request for a grant of a patent

(See the notes on the back of this form you can also get an explanatory leaflet from the Patent Office to help you fill in this form)

1. Your reference

21 FEB 2001

P010113GB RWP

2. Patent application number
(The Patent Office will fill in this part)

0104296.9

22FEB01 5607943-6 002246
P01/7700 0.00-0104296.9

3. Full name, address and postcode of the
or of each applicant
(underline all surnames)

SONY UNITED KINGDOM LIMITED
THE HEIGHTS
BROOKLANDS
WEYBRIDGE
KT13 0XW
UNITED KINGDOM

Patents ADP number (if you know it)

If the applicant is a corporate body, give
the country/state of its incorporation

6522700001

4. Title of the invention

SIGNAL PROCESSING

5. Name of your agent (if you have one)

D YOUNG & CO

"Address for service" in the United Kingdom
to which all correspondence should be sent
(including the postcode)

21 NEW FETTER LANE
LONDON
EC4A 1DA

Patents ADP number (if you know it)

59006

6. If you are declaring priority from
one or more earlier patent
applications, give the country and
date of filing of the or each of these
earlier applications and (if you know
it) the or each application number

Country

Priority application
number
(if you know it)

Date of filing
(day/month/year)

1st

2nd

3rd

7. If this application is divided or otherwise
derived from an earlier UK application,
give the number and filing date of the
earlier application

Number of earlier
application

Date of filing
(day/month/year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

a) any applicant named in part 3 is not an inventor, or
b) there is an inventor who is not named as an applicant, or
c) any named applicant is a corporate body.
See note (d))

YES

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form	0
Description	14
Claim(s)	5
Abstract	1
Drawing(s)	4

Handwritten marks: 'H' and '24' next to the table.

10. If you are also filing any of the following, state how many against each item

Priority Documents	0
Translation of Priority Documents	0
Statement of inventorship and right to grant of a patent (Patents Form 7/77)	3
Request for preliminary examination and search (Patents Form 9/77)	1
Request for substantive examination (Patents Form 10/77)	0
Any other documents (Please specify)	0

Handwritten marks: '/' and '/' next to the table.

11.

I/We request the grant of a Patent on the basis of this application.

Signature

Handwritten signature: D Young & Co

Date

D YOUNG & CO

21 Feb 2001

Agents for the Applicants

12. Name and daytime telephone number of person to contact in the United Kingdom

Richard Pratt

023 80719500

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 01645 500505.

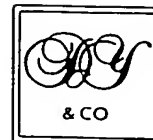
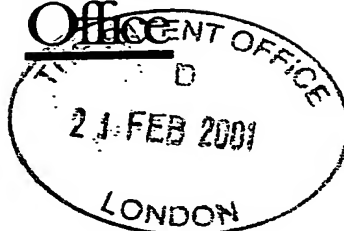
b) Write your answers in capital letters using black ink or you may type them.

c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheets should be attached to this form.

d) If you answered 'Yes' Patents Form 7/77 will need to be filed.

e) Once you have filled in the form you must remember to sign and date it.

f) For details of the fee and ways to pay please contact the Patent Office.



**Statement of inventorship and
of right to grant of a patent**

The Patent Office

Cardiff Road
Newport
Gwent NP9 1RH

1. Your reference

P010113GB RWP

2. Patent application number (if you know it)

0104296.9

21 FEB 2001

3. Full name of the or of each applicant

SONY UNITED KINGDOM LIMITED

4. Title of the invention

SIGNAL PROCESSING

5. State how the applicant(s) derived the right from the inventor(s) to be granted a patent **BY VIRTUE OF AN ASSIGNMENT.**

6. How many, if any, additional Patents Forms 7/77 are attached to this form? (see note (c))

7.

I/We believe that the person(s) named over the page (and on any extra copies of this forms) is/are the inventor(s) of the invention which the above patent relates to.

Signature

Date

D Young & Co

D YOUNG & CO
Agents for the Applicants

21 Feb 2001

8. Name and daytime telephone number of person to contact in the United Kingdom

023 80634816

Richard Pratt

Notes

a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.

b) Write answers in capital letters using black ink or you may type them.

c) If there are more than three inventor, please write the names and addresses of the other inventors on the back of another Patents Form 7/77 and attach it to this form.

d) When an application does not declare any priority, or declares priority from an earlier UK application, you must provide enough copies of this form so that the Patent Office can send one to each inventor who is not an applicant.

e) Once you have filled in the form you must remember to sign and date it.

Enter the full names, addresses and postcodes of the inventors in the boxes and underline the surnames

Surname	<u>SAUNDERS</u>
First Names	Nicholas Ian
Address	21 Mongers Piece Chineham Basingstoke Hampshire RG24 0RL United Kingdom
Patents ADP number (if you know it): 5 66625 0002	

Surname	<u>PORTER</u>
First Names	Robert Mark Stefan
Address	8 Prince Andrew Close Maidenhead Berkshire SL6 8QH United Kingdom
Patents ADP number (if you know it): 80876 86001	

Surname	_____
First Names	_____
Address	_____
Patents ADP number (if you know it):	

Reminder:
Have you signed the form?

Signal Processing

The present invention relates to a signal processing system, a method of signal processing and a computer program product arranged to implement the method. Embodiments of the invention relate to processing compressed video bit streams. Preferred embodiments relate to processing video bit streams compressed according to the MPEG 2 standard.

The invention and its background will be discussed by way of example with reference to MPEG-2 video bitstreams. However the invention is not limited to MPEG-2.

10 MPEG-2 is well known from for example ISO/IEC/13818-2, and will not be described in detail herein. MPEG-2 compressed video comprises groups of I, P and/or B frames known as GOPs, Groups of Pictures. I, P and B frames are well known. An I or Intra-encoded frame contains all the information of the frame independently of any other frame. A P frame in a GOP ultimately depends on an I frame and may depend
15 on other P frames. A B frame of a GOP ultimately depends on an I-frame and may depend on P frames in the GOP. A B frame must not depend on another B frame.

A GOP typically comprises 12 or 15 frames comprising at least one I frame and several P and B frames. To correctly decode a GOP requires all the frames of the GOP, because a large part of the video information required to decode a B frame in the
20 GOP is in a preceding and/or succeeding frame of the GOP. Likewise a large part of the video information required to decode a P frame is in a preceding frame of the GOP. More generally, a GOP must comprise at least one I frame. It may additionally comprise one or more P frames and/or B frames. For example, a GOP may comprise only an I frame and a B frame as in the SX system of SONY.

25 It is known to edit compressed video or otherwise process it. A known editing process is splicing. Splicing analogue signals is relatively straight forward and can be done at the boundary between adjacent frames, because each analogue frame contains the whole of the video information of that frame independently of other frames. Splicing can be done similarly in the digital domain for both compressed and
30 uncompressed video data if all frames contain the whole video information of the frame. Thus it has been proposed to splice compressed video by reencoding an original

THIS PAGE BLANK (USPTO)

5 GOP of I and P and/or B frames as all I frames and performing splicing on the I frames and then reencoding the I frames as a new GOP having the same structure as the original GOP. Other processing is also conveniently performed on I frames. Reencoding the original GOP as I frames involves decoding the GOP to baseband and recoding to I frames. Alternatively, it has been proposed to decode a GOP of compressed video to digital baseband (i.e. uncompressed digital video), process the baseband video, and reencode the processed video as a compressed bitstream without the intermediate step of recoding to I frames.

10 Decoding and reencoding tends to reduce image quality. It is known to maintain image quality by storing the compression parameters of compressed video before it is decompressed and to reuse those stored parameters, for at least frames which have not been changed by the processing, when reencoding the video. For example, I frames of the original compressed video are reencoded as I frames with the same compression parameters as in the original video. Likewise P and B frames of the original video may be reencoded as P and B frames with their original compression parameters. An example of such processing is disclosed in European Patent Application 00306696.6 (Atty. ref. I-99-21 S00P5205EP00, P7374EP).

15 It is possible that a compressed video bitstream is decoded to I frames or baseband and then reencoded as a compressed bitstream with simple processing which does not change the video such as simple transfer and/or storage.

20 It has been found that decoding a compressed bitstream to I frames and reencoding the bitstream, whether or not the decoded bitstream is processed so as to change the video, results in the number of bits per GOP of the reencoded bitstream differing from that of the original bitstream even if compression parameters are reused. The same occurs if the compressed bitstream is decoded to baseband and reencoded. This can cause the buffer of a downstream decoder to underflow or overflow.

It is desired to decode and reencode a compressed video bitstream whilst maintaining image quality and avoiding buffer underflow and overflow.

25 According to a first aspect of the invention, there is provided a signal processing system comprising:

30 a decoder for decoding a first compressed digital video bitstream whilst preserving the compression parameters thereof, the compression parameters including

THIS PAGE BLANK (USPTO)

a first buffer occupancy value V_1 representing the occupancy by the said first bitstream of a buffer of the decoder;

a signal processor for processing the decompressed bitstream; and

an encoder for compressing the processed bitstream to produce a
5 second compressed bitstream having a target bit rate, optionally with reuse of the said compression parameters of the first bitstream, the second bitstream having a second occupancy value V_2 representing the occupancy of a downstream decoder buffer by the said second bitstream;

wherein the encoder controls (i) the target bit rate of the second bitstream and
10 (ii) the recoding of the second bitstream to meet the said target bit rate,

the target bit rate being varied in dependence on one or both of (a) V_2 and (b) the difference between V_1 and V_2 , and

the degree of reuse of the said preserved parameters being varied in dependence on one or both of (a) the degree to which V_2 tends towards underflow
15 and (b) the degree to which V_1 differs from V_2 tending towards underflow.

According to a second aspect of the invention, there is provided a method of processing a signal comprising the steps of:

decoding a first compressed digital video bitstream whilst preserving the
20 compression parameters thereof, the compression parameters including a first buffer occupancy value V_1 representing the occupancy by the said first bitstream of a buffer of the decoder;

processing the decompressed bitstream; and

compressing the processed bitstream to produce a second compressed
25 bitstream having a target bit rate, optionally with reuse of the said compression parameters of the first bitstream, the second bitstream having a second occupancy value V_2 representing the occupancy of a downstream decoder buffer by the said second bitstream;

wherein the encoding controls (i) the target bit rate of the second bitstream and
30 (ii) the recoding of the second bitstream to meet the said target bit rate,

the target bit rate being varied in dependence on one or both of (a) V_2 and (b) the difference between V_1 and V_2 , and

THIS PAGE BLANK (USPTO)

the degree of reuse of the said preserved parameters being varied in dependence on one or both of (a) the degree to which V_2 tends towards underflow and (b) the degree to which V_1 differs from V_2 tending towards underflow

According to a third aspect of the invention, there is provided a computer
5 program product comprising instructions which when run on a suitable data processor implement the method of said second aspect of the invention.

Thus the invention avoids underflow whilst preserving image quality by reusing preserved parameters and maintaining a high bit rate when the tendency towards underflow is low, and reduces the reuse of the preserved parameters and
10 reduces the bit rate as the tendency towards underflow increases. Preferably, the values of V_1 and V_2 are controlled so that they converge by controlling the bit rate.

According to a fourth aspect of the invention, there is provided a signal processing system comprising:

a decoder for decoding a first compressed digital video bitstream whilst
15 preserving the compression parameters thereof, the compression parameters including a first buffer occupancy value V_1 representing the occupancy by the said first bitstream of a buffer of the decoder;

a signal processor for processing the decompressed bitstream; and

an encoder for compressing the processed bitstream to produce a
20 second compressed bitstream having a target bit rate, optionally with reuse of the said compression parameters of the first bitstream, the second bitstream having a second occupancy value V_2 representing the occupancy of a downstream decoder buffer by the said second bitstream;

wherein the encoder controls (i) the target bit rate of the second bitstream and
25 (ii) the recoding of the second bitstream to meet the said target bit rate, and

if V_2 is tending towards overflow of the downstream buffer and/or V_2 differs from V_1 tending towards overflow of the downstream buffer, the encoder adds stuffing bits to the bitstream and recodes the second bitstream reusing the said preserved parameters.

30 According to a fifth aspect of the invention, there is provided a method of processing a signal comprising the steps of:

THIS PAGE BLANK (USPTO)

decoding a first compressed digital video bitstream whilst preserving the compression parameters thereof, the compression parameters including a first buffer occupancy value V_1 representing the occupancy by the said first bitstream of a buffer of the decoder;

5 processing the decompressed bitstream; and

 compressing the processed bitstream to produce a second compressed bitstream having a target bit rate, optionally with reuse of the said compression parameters of the first bitstream, the second bitstream having a second occupancy value V_2 representing the occupancy of a downstream decoder buffer by the said
10 second bitstream;

 wherein the encoding controls (i) the target bit rate of the second bitstream and (ii) the recoding of the second bitstream to meet the said target bit rate, and

 if V_2 is tending towards overflow of the downstream buffer and/or V_2 differs from V_1 tending towards overflow of the downstream buffer, the encoder adds
15 stuffing bits to the bitstream and recodes the second bitstream reusing the said preserved parameters.

 According to a sixth aspect of the invention, there is provided a computer program product comprising instructions which when run on a suitable data processor implement the method of said fifth aspect of the invention.

20 Thus the invention reduces overflow of the downstream buffer whilst preserving image quality by reusing the preserved parameters and adding stuffing bits.

 In preferred embodiments of the invention in which the bitstreams are compressed according to the MPEG2 standard, V_1 and V_2 are video buffer verifier values VBV_1 and VBV_2 .

THIS PAGE BLANK (USPTO)

For a better understanding of the present invention, reference will now be made by way of example to the accompanying drawings in which:

Figure 1 is a schematic block diagram of a system for decoding compressed video to baseband, processing the decoded video and reencoding the processed video;

5 Figure 2 is a schematic block diagram of a system for decoding compressed video and recoding it as I frames, processing the I frames and reencoding the processed I frames;

Figure 3 is a diagram illustrating occupancy of a down stream buffer of the system of Figure 1, 2, 5 or 7, and illustrating control of overflow in accordance with an
10 embodiment of the invention;

Figure 4 is a diagram illustrating occupancy of a down stream buffer of the system of Figure 1, 2 5 or 7, and illustrating control of underflow in accordance with an embodiment of the invention;

Figure 5 is a schematic block diagram of a system for decoding compressed
15 video to baseband, editing the decoded video and reencoding the edited video;

Figure 6 is a timing diagram for explaining the operation of the system of Figure 5;

Figure 7 is a schematic block diagram of a system for decoding compressed video and recoding it as I frames, editing the I frames and reencoding the edited I
20 frames; and

Figure 8 is a timing diagram for explaining the operation of the system of Figure 7.

The illustrative system of Figure 1 comprises a decoder 2 which receives a digital video bitstream compressed according to the MPEG 2 standard. The bitstream
25 comprises a "long GOP" of frames, for example IBBPBBPBBPBB. The decoder 2 decompresses the compressed video to digital baseband. The compression parameters of the I, P and B frames are preserved for transfer to an encoder 6 as indicated by line 12. The parameters include for all frames (i.e. I, P and B):

Identification of the frame type, I P and B;
30 Quantiser scale;
DCT type (field or frame); and
Quantiser matrix.

THIS PAGE BLANK (USPTO)

The parameters additionally include for predicted frames (i.e. P and B frames):
Prediction type (field or frame);
Macroblock mode; and
Motion vectors.

5 The decompressed baseband video is applied to a signal processor 40. The processor 40 may be, inter alia: simply a communications channel for transferring the decompressed video to the encoder 6; a store for storing the baseband video; an image processing system for example an editing system; and/or a video processing studio which operates at digital baseband.

10 The encoder 6 compresses the video from the processor 40 according to the MPEG2 standard producing in this example a long GOP which is preferably the same as the long GOP supplied to the decoder. The encoder uses the preserved transcoding parameters to compress the processed video and supplies the compressed video to a downstream decoder 8 having a buffer 10.

15 The system of Figure 2 comprises a decoder 2 which receives a digital video bitstream compressed according to the MPEG 2 standard. The bitstream comprises a "long GOP" of 12 or 15 frames, for example IBBPBBPBBPBB. The decoder 2 decompresses the compressed video to digital baseband. The compression parameters of the I, P and B frames are preserved for transfer to an encoder 6 as indicated by line
20 12. The compression parameters are the same as set out above with reference to Figure 1.

The decompressed baseband video is applied to an intra-frame encoder 14 which compresses the baseband video to I frames. The intra-encoder 14 uses the preserved parameters of the original I frames to recode those frames as I frames
25 wherever possible within the constraints of the reencoded bitstream. The I frames are supplied to a signal processor 41. The processor 41 may be, inter alia: simply a communications channel for transferring the decompressed video; a store for storing the baseband video; an image processing system for example an editing system; and/or a video processing studio which operates on intra frames.

30

THIS PAGE BLANK (USPTO)

The processed I frames are supplied to a decoder 16 which decodes them to baseband preserving the compression parameters of the I frames as indicated by line 18 and transfers the baseband video to the encoder 6.

5 The encoder 6 compresses the video from the decoder 16 according to the MPEG2 standard producing in this example a long GOP which is preferably the same as the long GOP supplied to the decoder 2. The encoder uses the preserved transcoding parameters to compress the processed video and supplies the compressed video to a downstream decoder 8 having a buffer 10.

10 The decoder 2 of Figures 1 and 2 has a buffer which has an occupancy VBV_1 . VBV_1 is known at the decoder 2 by measuring it. The downstream decoder has a buffer the occupancy of which is VBV_2 . VBV_2 is estimated at the encoder 6.

15 In both the systems of Figures 1 and 2, assuming that the processor 40 or 41 simply transfers the video without changing it in any way, it would be expected that, if the compression parameters are reused at the encoder 6 so as to reconstruct at the encoder 6 the long GOP input to the decoder 2, then VBV_1 will be the same as VBV_2 . However in practice it is found that VBV_2 differs from VBV_1 and that VBV_1 and VBV_2 tend to drift apart. This is believed to be due to various factors. One factor is rounding errors in the inverse DCT transform in the decoder(s) and in the DCT transforms in the encoder(s). Other factors which arise in the system of Figure 2
20 are changes in frame type which may arise from the decoding of the original bitstream and reencoding the bitstream; for example a frame which was originally I may be recoded as P or vice versa. In such cases the quantisation scales change. Such errors are likely to be worse in the system of Figure 2 than in the system of Figure 1. Figures 3 and 4 illustrate the drift of VBV_1 and VBV_2 . The drift may cause the downstream
25 buffer 10 to underflow or overflow if it is not controlled.

In accordance with an embodiment of the invention, the drift is controlled. Referring to Figures 3 and 4: VBV_2 is the occupancy of the downstream buffer 10 of Figures 1 and 2; VBV_1 is the occupancy of the buffer of the upstream decoder 2; and $Buffer_size$ refers to the size of the downstream buffer 10. Thresholds $VBV_Thresh1$,
30 $VBV_Thresh2$, and $VBV_Thresh3$ are set. These thresholds are all percentages of the $Buffer_size$. Examples of the thresholds are:

THIS PAGE BLANK (USPTO)

VBV_Thresh1 is 20% of Buffer_size;
VBV_Thresh2 is 15% of Buffer_size; and
VBV_Thresh3 is 10% of Buffer_size.

Figures 3 and 4 show in the heavy line GOPs of the original compressed
5 bitstream input to the upstream decoder 2 and in the light line GOPs of the
corresponding recoded bitstream produced by the encoder 6. The GOPs are long
GOPs in the example of Figures 3 and 4 having a sequence of 15 frames
IBBPBBPBBPBBPBB for example. Each type I, B and P of frame of the original
bitstream is recoded as the same type I, B and P respectively of frame by the encoder
10 6.

A value VBV_drift is determined. VBV_drift is the difference (VBV_2-
VBV_1) between the occupancy of the downstream buffer 10 by a frame of the
recoded bitstream produced by the encoder 6 and the occupancy of the upstream buffer
by the corresponding frame of the original bitstream. VBV_2 is also determined.
15 VBV_2 and VBV_drift are determined once per GOP on the I frame of the GOP in
this example. Alternatively, they may be determined on each frame of the GOP or on
several but not all frames, for example on I and P frames but not B frames. It is
preferable to determine them at least once per GOP on an I frame, because I frames
have the greatest occupancy of the buffers and may (but not always) produce the
20 greatest change in occupancy. In other embodiments of the invention, VBV_2 and
VBV_drift may be determined every other GOP or at other suitable intervals.

Overflow and positive VBV drift

Referring to Figure 3, which illustrates VBV_2 drifting from VBV_1 with a
tendency towards overflow, VBV_drift and VBV_2 are determined once per GOP on
25 the I frame at the start of each GOP..

If $(VBV_2 > Buffer_size - VBV_Thresh1)$ or $(VBV_drift > VBV_Thresh3)$, then stuffing bits are *added* to the GOP following the I frame in the
encoder 6 to *reduce* VBV_2. The GOP produced by the encoder reuses all the
preserved transcoding parameters when there is a tendency to overflow. By way of
30 explanation, *VBV_2 is the occupancy of the downstream buffer 10*. The occupancy of
the downstream buffer is the inverse of the occupancy of the buffer of the encoder.

THIS PAGE BLANK (USPTO)

Adding bits at the encoder to increase its occupancy results in decrease of the occupancy of the downstream buffer.

The threshold $\text{Buffer_size} - \text{VBV_Thresh1}$ is shown in Figure 3. If VBV_2 exceeds that threshold the downstream buffer is likely to overflow.

5 The comparison of VBV_drift with VBV_Thresh3 is also shown in Figure 3. If VBV_2 drifts too far from VBV_1 then that too indicates that the downstream buffer is tending towards overflow. Also, VBV_drift is monitored to ensure that VBV_1 and VBV_2 do not diverge too much. The number of stuffing bits added to the GOP is chosen so as to reduce VBV_2 towards VBV_1 and to allow VBV_2 to remain greater
10 than VBV_1 so as to reduce the likelihood of future underflow. Preferably the stuffing bits are added until $\text{VBV_2} = (\text{Buffer_size} - \text{VBV_Thresh1})$ or $(\text{VBV_1} + \text{VBV_Thresh3})$ whichever value of VBV_2 is smaller.

Underflow and negative VBV drift

Referring to Figure 4, which illustrates VBV_2 drifting from VBV_1 with a
15 tendency towards underflow, the same values VBV_drift and VBV_2 , which are determined once per GOP on the I frame at the start of each GOP, are used. In addition a value (Iframe_Offset) is used. This is preferably a predetermined fixed value representing the size of a typical I frame. Alternatively, it may be determined for each I frame by measuring the size of the I frame. The I frame_offset allows for the bits
20 removed from the downstream buffer on decoding the I frame at the start of a GOP.

To reduce the likelihood of underflow and to reduce negative VBV drift, the target number of bits per GOP is reduced at the start of each GOP and the degree of reuse of the preserved transcoding parameters is reduced as the drift increases and as the likelihood of underflow increases. To *reduce the likelihood of*
25 *underflow*, the target number of bits for the GOP is *reduced*. By way of explanation, *VBV_2 is the occupancy of the downstream buffer 10*. The occupancy of the downstream buffer is the inverse of the occupancy of the buffer of the encoder. Reducing the target number of bits at the encoder results in an increase of the occupancy of the downstream buffer.

30 In the present example:

If $(\text{VBV_2} < \text{VBV_Thresh1} + \text{Iframe_Offset})$ or $(\text{VBV_drift} < \text{minus VBV_Thresh3})$ then the target number of bits for the GOP is reduced by a *small*

THIS PAGE BLANK (USPTO)

amount, the preserved transcoding parameters are reused on I and P frames, and B frames are recoded without reusing preserved parameters. These criteria denote a small VBV drift towards underflow. The said *small amount* is for example the value of VBV_drift or a proportion thereof.

5 If ($\text{VBV_2} < \text{VBV_Thresh2} + \text{Iframe_Offset}$) or ($\text{VBVdrift} < \text{minus VBV_Thresh2}$) then the target number of bits for the GOP is reduced by a *medium amount*, the preserved transcoding parameters are reused on I frames, and B and P frames are recoded without reusing preserved parameters. These criteria denote a medium VBV drift towards underflow. The said *medium amount* is for example the
10 value of VBV_drift or a proportion thereof.

 If ($\text{VBV_2} < \text{VBV_Thresh3} + \text{Iframe_Offset}$) or ($\text{VBVdrift} < \text{minus VBV_Thresh1}$) then the target number of bits for the GOP is reduced by a *large amount*, the preserved transcoding parameters are not reused on any frames, and all the I, P and B frames are recoded without reusing preserved parameters. These criteria
15 denote a large VBV drift towards underflow. The said *large amount* is for example the value of VBV_drift or a proportion thereof.

 The amounts by which the target number of bits (and thus bit rate) is changed are chosen to ensure that the rate of change of bit rate is within acceptable bounds.

 The above criteria all have two conditions ($\text{VBV_2} < \text{VBV_ThreshX} + \text{Iframe_Offset}$) and ($\text{VBVdrift} < \text{minus VBV_ThreshY}$). The decision on how much to
20 reduce the target number of bits and the degree of reuse of the transcoding parameters is preferably decided on the worst case of the two conditions.

 In this way, image quality is preserved as much as possible by reusing the transcoding parameters as much as possible.

25 It will be noted that the condition $\text{VBV drift} < \text{minus VBV_ThreshY}$ indicates that VBVdrift is more negative than VBV_ThreshY, which is a negative value itself. In terms of magnitude then, $|\text{VBVdrift}| > |\text{VBV_ThreshY}|$.

Example of Figures 5 and 6.

30 Figure 5 shows an illustrative splicing system embodying the invention. Bitstreams A and B which are long GOP compressed bitstreams are supplied to inputs A and B of the system. The bitstream B is decoded to baseband and spliced onto the

THIS PAGE BLANK (USPTO)

decoded baseband bitstream A at a splice point Splice by a splicer shown as a switch S1 to produce a spliced baseband bitstream C which is reencoded by an encoder 6. The encoder 6 is controlled by a controller 61 which receives the preserved transcoding parameters from the decoded bitstreams.

5 Referring to Figure 6, prior to time t_0 , a bitstream A0 is fed from the input of a decoder 21 via a delay DA to input A of a switch S2 and thence to the output S0 of the system. From time t_1 onwards to the splice time t_2 , A0 is decoded by decoder 21 to baseband and fed to input A of a splicer S1. A bitstream B0 is also decoded by a decoder 22 to baseband and fed to input B of the splicer S1. Up to time t_2 , the splicer
10 S1 feeds A to the output C of the splicer. After time t_2 , the splicer feeds B to the output C. The encoder 6 operates in a transition period t_1 to t_3 in which the spliced bitstream is fully reencoded without use of, or with partial reuse of, preserved transcoding parameters. During this period reencoding is performed so as to provide a controlled transition from the VBV value of bitstream A to that of bitstream B.
15 Preferably preserved I frame parameters are used to recode frames, which were originally I frames, as I frames. The manner in which that may be done is described in copending European patent application 00306699.0, (attorney reference I-99-19, S99P5130, P/7372) which is incorporated herein by reference. At time t_3 , the VBV of the bitstream matches that of bitstream B. Recoding of B continues from time t_3 to
20 time t_4 . At time t_4 , switch S2 switches from input C to input B and compressed bitstream B0 is supplied to the output S0 of the system. During the time period t_3 to t_4 , the encoder operates as described with reference to Figures 1, 3 and 4 in accordance with the invention to reduce any drift of the VBV value of the bitstream produced by the encoder 6 from that of the original bitstream B0 to ensure that at time t_4 the VBV
25 values match as closely as possible.

Example of Figures 7 and 8.

Figure 7 shows an illustrative splicing system embodying the invention. Bitstreams A and B which are long GOP compressed bitstreams are supplied to inputs A and B of the system. Bitstream A is decoded by a decoder 21 and reencoded by an
30 intra encoder 141 to a compressed bitstream consisting of I frames. Bitstream B is decoded by a decoder 22 and reencoded by an intra encoder 142 to a compressed bitstream consisting of I frames. I frame bitstream B is spliced onto the I frame

THIS PAGE BLANK (USPTO)

bitstream A at a splice point Splice by a splicer 41 shown as a switch S1 to produce a spliced I frame bitstream C. The I frame bitstream C is reencoded as a long GOP compressed bitstream by an I frame decoder 16 and an encoder 6. The encoder 6 is controlled by a controller 61 which receives the preserved transcoding parameters from the decoded bitstreams.

The splicer 41 is typically in an intra frame studio. The bitstreams AI and BI are preferably stored in stores in the studio to be available for splicing. The spliced bitstream CI may be stored in a store in the studio. The stores may be tape and/or disc stores.

Referring to Figure 8, from time t0 onwards to the splice time t2, A0 is decoded by decoder 21 and reencoded by an intra frame encoder 141 to I frames, reusing, wherever possible, at least the preserved parameters of the I frames of the original bitstream A0, and fed to input AI of a splicer S1. A bitstream B0 is also decoded by a decoder 22 and reencoded by an I frame encoder 142 to I frames, reusing, wherever possible, at least the preserved parameters of the I frames of the original bitstream B0, and fed to input BI of the splicer S1. Up to time t2, the splicer S1 feeds A to the output CI of the splicer. After time t2, the splicer feeds B to the output CI. The decoder 16 and encoder 6 operate in a transition period t1 to t3 in which the spliced bitstream is fully reencoded without use of, or with partial use of, preserved transcoding parameters. During this period reencoding is performed so as to provide a controlled transition from the VBV value of bitstream A to that of bitstream B. Preferably preserved I frame parameters are used to recode frames, which were originally I frames, as I frames. The manner in which that may be done is described in copending European patent application 00306696.6, (attorney reference I-99-21, S99P5131, P7374) which is incorporated herein by reference. At time t3, the VBV of the bitstream C matches that of bitstream B. Recoding of B continues from time t3 onwards preferably with full reuse of transcoding parameters. If VBV drift occurs during the time period t3 onwards, the encoder 6 operates, as controlled by controller 61, as described with reference to Figures 2, 3 and 4 in accordance with the invention to reduce any drift of the VBV value of the bitstream produced by the encoder 6 from that of the original bitstream B0.

THIS PAGE BLANK (USPTO)

It will be noted that in the embodiment of Figures 7 and 8, the bitstreams A0 and B0 are decoded and reencoded as I frames prior to time t1. The present invention may be applied in the encoders 141 and 142 prior to time t1 wherever the reencoding makes full reuse of coding parameters.

5 It will be appreciated that the invention may be implemented in a programmable digital signal processor controlled by a computer program. Thus a computer program product, which implements the techniques described herein when run on the processor, is envisaged as an aspect of this invention.

10 Whilst the invention has been described in relation to the current MPEG2 standard, it will be appreciated that it could be applied to other compression systems.

THIS PAGE BLANK (USPTO)

CLAIMS

1. A signal processing system comprising:
 - a decoder for decoding a first compressed digital video bitstream whilst
5 preserving the compression parameters thereof, the compression parameters including
a first buffer occupancy value V_1 representing the occupancy by the said first
bitstream of a buffer of the decoder;
 - a signal processor for processing the decompressed bitstream; and
 - an encoder for compressing the processed bitstream to produce a
10 second compressed bitstream having a target bit rate, optionally with reuse of the said
compression parameters of the first bitstream, the second bitstream having a second
occupancy value V_2 representing the occupancy of a downstream decoder buffer by
the said second bitstream;
 - wherein the encoder controls (i) the target bit rate of the second bitstream and
15 (ii) the recoding of the second bitstream to meet the said target bit rate,
the target bit rate being varied in dependence on one or both of (a) V_2 and (b)
the difference between V_1 and V_2 , and
 - the degree of reuse of the said preserved parameters being varied in
dependence on one or both of (a) the degree to which V_2 tends towards underflow
20 and (b) the degree to which V_1 differs from V_2 tending towards underflow.
2. A system according to claim 1, wherein if V_2 is within a
predetermined range of underflow of the downstream buffer, then the second bitstream
is encoded without reuse of the preserved parameters, otherwise the second bitstream
25 is encoded with reuse of at least some preserved parameters.
3. A system according to claim 2, wherein if the difference between V_2
and V_1 exceeds a predetermined threshold value tending towards underflow of the
downstream buffer, then the second bitstream is encoded without reuse of the
30 preserved parameters, otherwise the second bitstream is encoded with reuse of at least
some preserved parameters.

THIS PAGE BLANK (USPTO)

4. A system according to claim 3, wherein the compressed bitstreams comprise groups of intra frames and predicted frames, and if V_2 is less than a first V_2 threshold value $Th1$ then the target bit rate is reduced by a small amount, and
5 preserved transcoding parameters are reused on intra frames and at least some predicted frames.

5. A system according to claim 3 or 4, wherein the compressed bitstreams comprise groups of intra frames and predicted frames, and if $|(V_2-V_1)|$ is greater than a
10 first (V_2-V_1) threshold; then the target bit rate is reduced by a small amount, and preserved transcoding parameters are reused on intra frames and at least some predicted frames.

6. A system according to claim 4 or 5, wherein the groups of frames
15 include I, P and B frames and I and P frames are recoded with reuse of the preserved parameters, and B frames are recoded without reusing preserved parameters

7. A system according to claim 4, 5 or 6, wherein if V_2 is less than a second threshold value $Th2$, which is less than the said first threshold $Th1$ then the
20 target bit rate is reduced by a medium amount, and preserved transcoding parameters are reused on intra frames but not on predicted frames.

8. A system according to claim 4, 5 6 or 7, wherein if $|(V_2-V_1)|$ is greater than a second (V_2-V_1) threshold but less than a third (V_2-V_1) threshold then the
25 target bit rate is reduced by a medium amount, and preserved transcoding parameters are reused on intra frames but not on predicted frames.

9. A system according to claim 4, 5, 6, 7 or 8, wherein if V_2 is less than a third threshold value $Th3$, which is less than the said second threshold $Th2$, then the
30 target bit rate is reduced by a large amount, and preserved transcoding parameters are not reused on any frames.

THIS PAGE BLANK (USPTO)

10. A system according to claim 4, 5, 6, 7, 8 or 9, wherein if $|V_2 - V_1|$ is greater than said third $(V_2 - V_1)$ threshold then the target bit rate is reduced by a large amount, and preserved transcoding parameters are not reused on any frames.

5 11. A system according to any one of claims 1 to 10, wherein stuffing bits are added to the bitstream if V_2 is tending towards overflow of the downstream buffer and/or V_2 differs from V_1 tending towards overflow.

12. A signal processing system comprising:
10 a decoder for decoding a first compressed digital video bitstream whilst preserving the compression parameters thereof, the compression parameters including a first buffer occupancy value V_1 representing the occupancy by the said first bitstream of a buffer of the decoder;

a signal processor for processing the decompressed bitstream; and
15 an encoder for compressing the processed bitstream to produce a second compressed bitstream having a target bit rate, optionally with reuse of the said compression parameters of the first bitstream, the second bitstream having a second occupancy value V_2 representing the occupancy of a downstream decoder buffer by the said second bitstream;

20 wherein the encoder controls (i) the target bit rate of the second bitstream and (ii) the recoding of the second bitstream to meet the said target bit rate, and

if V_2 is tending towards overflow of the downstream buffer and/or V_2 differs from V_1 tending towards overflow of the downstream buffer, the encoder adds stuffing bits to the bitstream and recodes the second bitstream reusing the said
25 preserved parameters.

13. A system according to claim 12, wherein if V_2 is within a threshold range of the buffer size or $(V_2 - V_1)$ exceeds a further threshold level tending towards overflow, then stuffing bits are added to the bitstream.

30 14. A system according to any preceding claim, wherein the said signal processor comprises one or more of: a store for storing the bitstream; and a

THIS PAGE BLANK (USPTO)

communications channel for transferring the bitstream from the decoder to the encoder.

15 15. A system according to any preceding claim, wherein the said signal processor comprises an editing apparatus.

10 16. A system according to any one of claims 1 to 13, wherein the said signal processor comprises an intra-frame encoder to produce an intra frame bitstream, an intra frame signal processor and a decoder for decoding the processed intra frame bitstream to produce the said processed decompressed bitstream.

15 17. A method of processing a signal comprising the steps of:
 decoding a first compressed digital video bitstream whilst preserving the compression parameters thereof, the compression parameters including a first buffer occupancy value V_1 representing the occupancy by the said first bitstream of a buffer of the decoder;

 processing the decompressed bitstream; and
 compressing the processed bitstream to produce a second compressed bitstream having a target bit rate, optionally with reuse of the said compression parameters of the first bitstream, the second bitstream having a second occupancy value V_2 representing the occupancy of a downstream decoder buffer by the said second bitstream;

20 wherein the encoding controls (i) the target bit rate of the second bitstream and (ii) the recoding of the second bitstream to meet the said target bit rate,
25 the target bit rate being varied in dependence on one or both of (a) V_2 and (b) the difference between V_1 and V_2 , and

 the degree of reuse of the said preserved parameters being varied in dependence on one or both of (a) the degree to which V_2 tends towards underflow and (b) the degree to which V_1 differs from V_2 tending towards underflow.

30

18. A method of processing a signal comprising the steps of:

THIS PAGE BLANK (USPTO)

decoding a first compressed digital video bitstream whilst preserving the compression parameters thereof, the compression parameters including a first buffer occupancy value V_1 representing the occupancy by the said first bitstream of a buffer of the decoder;

5 processing the decompressed bitstream; and

 compressing the processed bitstream to produce a second compressed bitstream having a target bit rate, optionally with reuse of the said compression parameters of the first bitstream, the second bitstream having a second occupancy value V_2 representing the occupancy of a downstream decoder buffer by the said
10 second bitstream;

 wherein the encoding controls (i) the target bit rate of the second bitstream and (ii) the recoding of the second bitstream to meet the said target bit rate, and

 if V_2 is tending towards overflow of the downstream buffer and/or V_2 differs from V_1 tending towards overflow of the downstream buffer, the encoder adds
15 stuffing bits to the bitstream and recodes the second bitstream reusing the said preserved parameters.

19 A signal processing system substantially as hereinbefore described with reference to: Figures 1, 3 and 4 optionally as modified by Figures 5 and 6; or Figures
20 2, 3 and 4 optionally as modified by Figures 7 and 8.

20. A signal processing method substantially as hereinbefore described with reference to: Figures 1, 3 and 4 optionally as modified by Figures 5 and 6; or Figures 2, 3 and 4 optionally as modified by Figures 7 and 8.

25

21. A computer program product arranged to carry out the method of claim 17, 18 and/or 20 when run on a programmable digital signal processing system.

THIS PAGE BLANK (USPTO)

ABSTRACTSIGNAL PROCESSING

A decoder 2 decodes a first compressed digital video bitstream whilst
5 preserving the compression parameters thereof, the compression parameters including
a first buffer occupancy value VBV_1 representing the occupancy by the said first
bitstream of a buffer of the decoder. A signal processor 40 processes the decompressed
bitstream. An encoder 6 compresses the processed bitstream to produce a second
compressed bitstream having a target bit rate, optionally with reuse of the said
10 compression parameters of the first bitstream, the second bitstream having a second
occupancy value VBV_2 representing the occupancy of a downstream decoder buffer
by the said second bitstream. The encoder controls (i) the target bit rate of the second
bitstream and (ii) the recoding of the second bitstream to meet the said target bit rate,
the target bit rate being varied in dependence on one or both of (a) VBV_2 and
15 (b) the difference between VBV_1 and VBV_2 , and
the degree of reuse of the said preserved parameters being varied in
dependence on one or both of (a) the degree to which VBV_2 tends towards underflow
and (b) the degree to which VBV_1 differs from VBV_2 tending towards underflow.
In addition, stuffing bits are added to the bitstream if VBV_2 is tending
20 towards overflow of the downstream buffer and/or VBV_2 differs from VBV_1
tending towards overflow.

[Figures 1, 3 and 4].

THIS PAGE BLANK (USPTO)

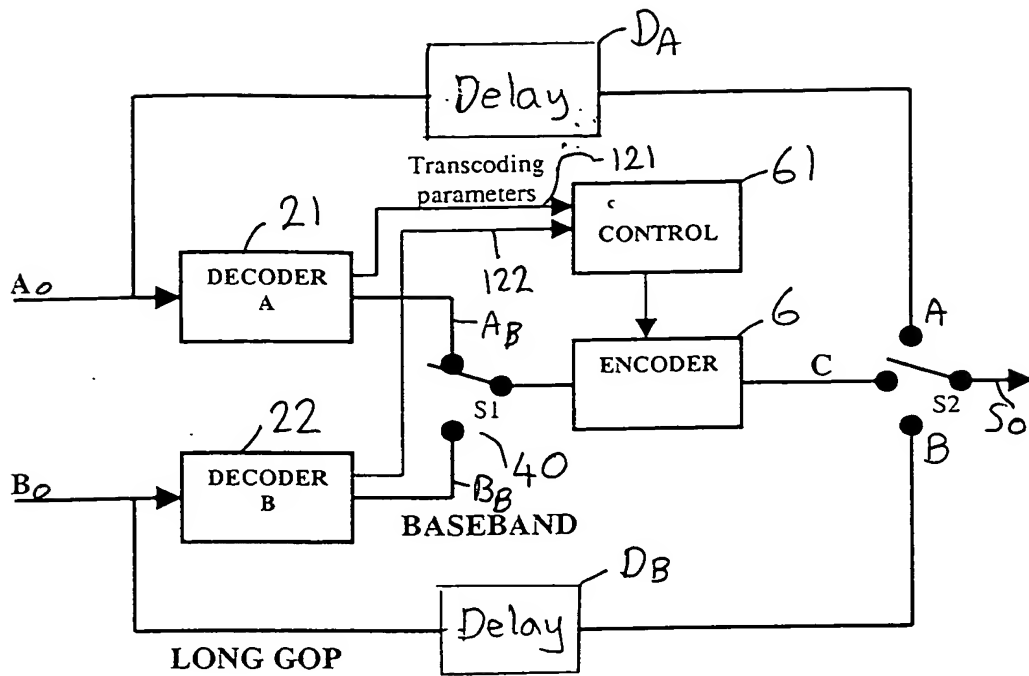


Figure 5

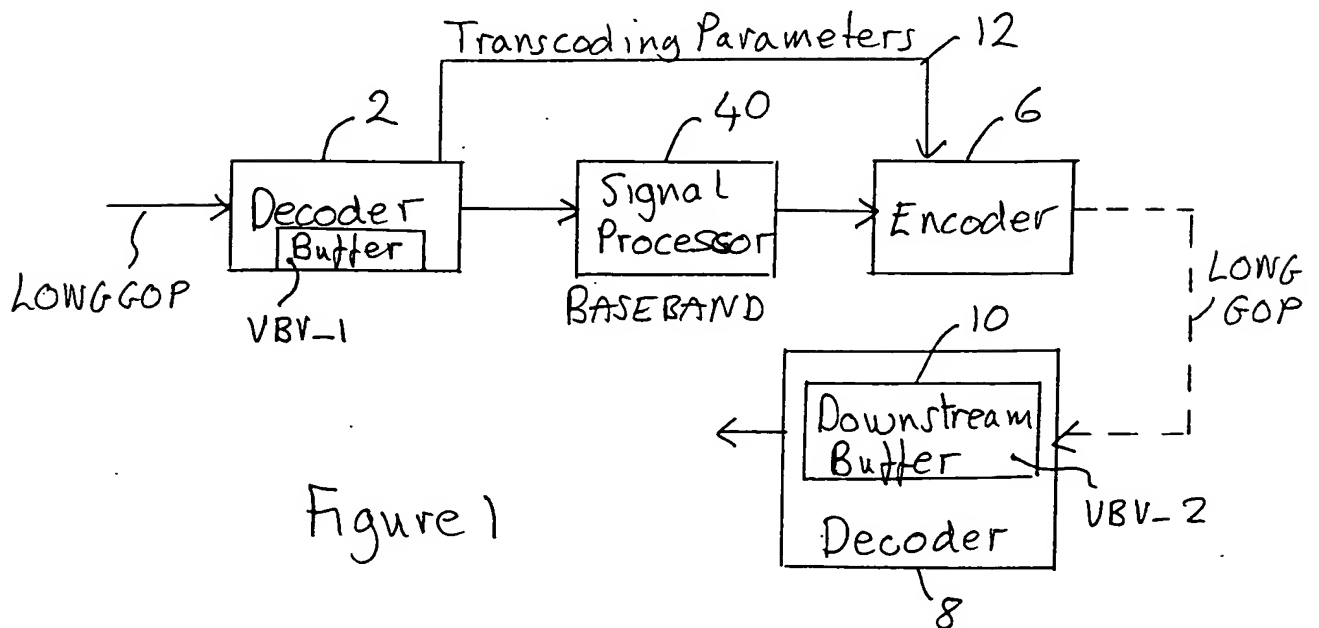


Figure 1

THIS PAGE BLANK (USPTO)

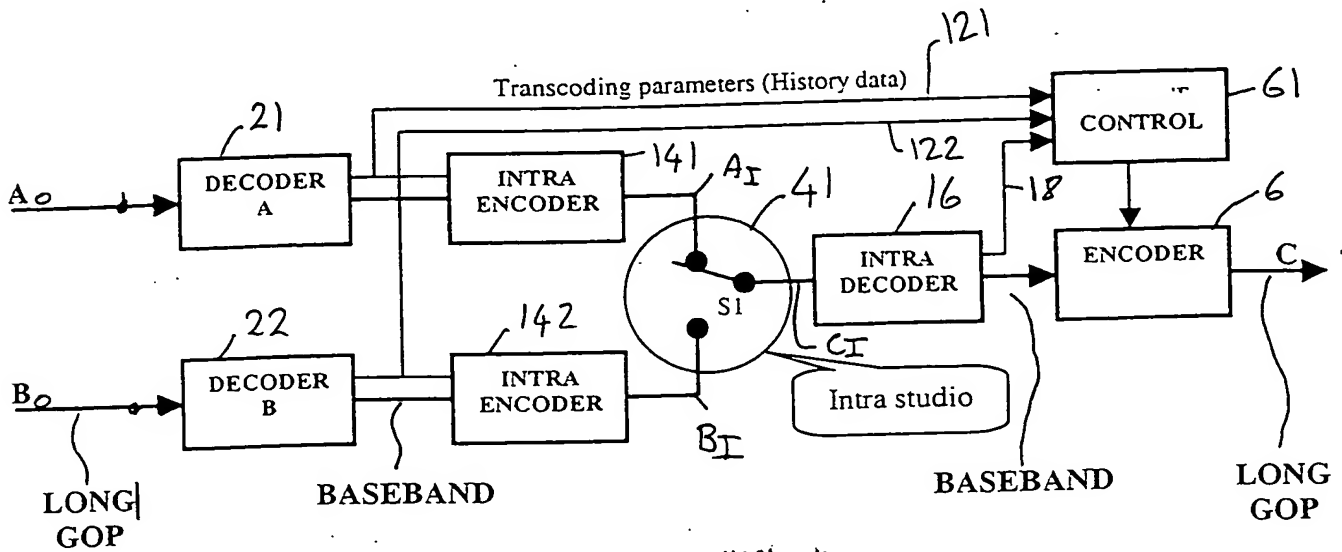


Figure 7

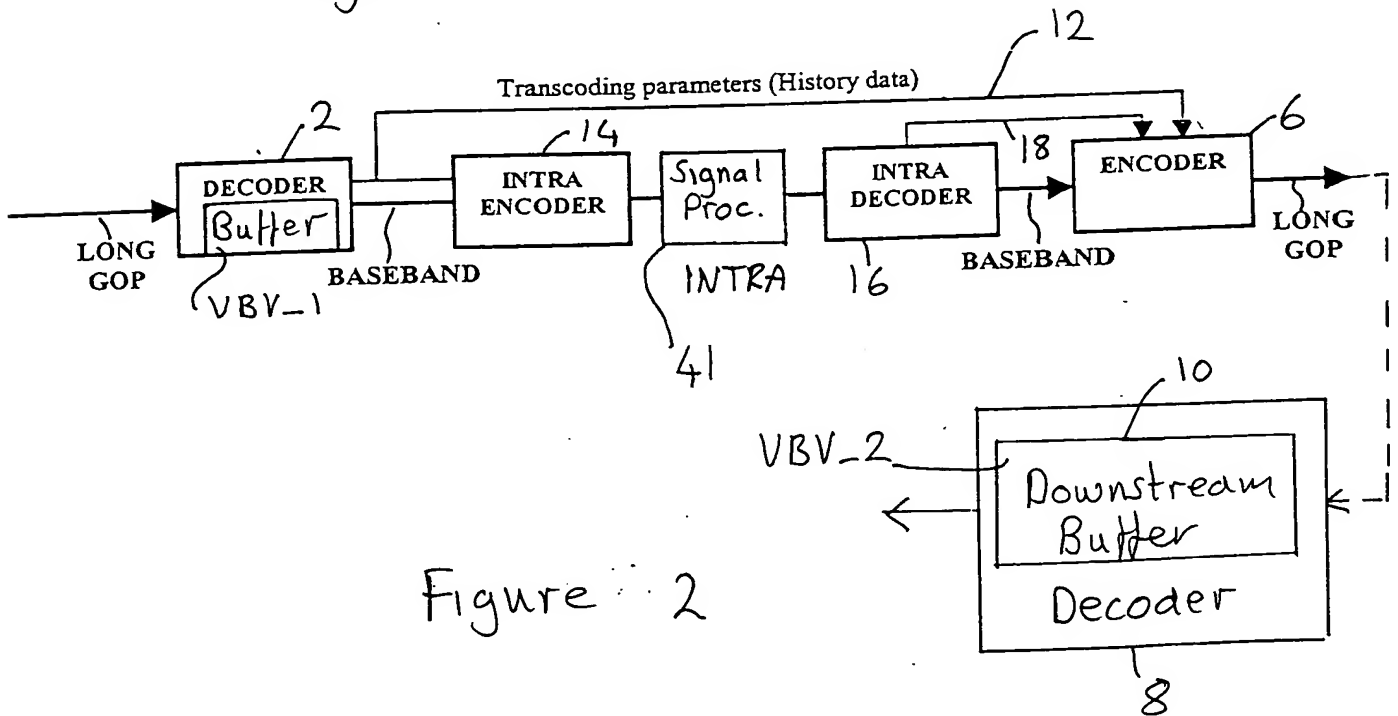
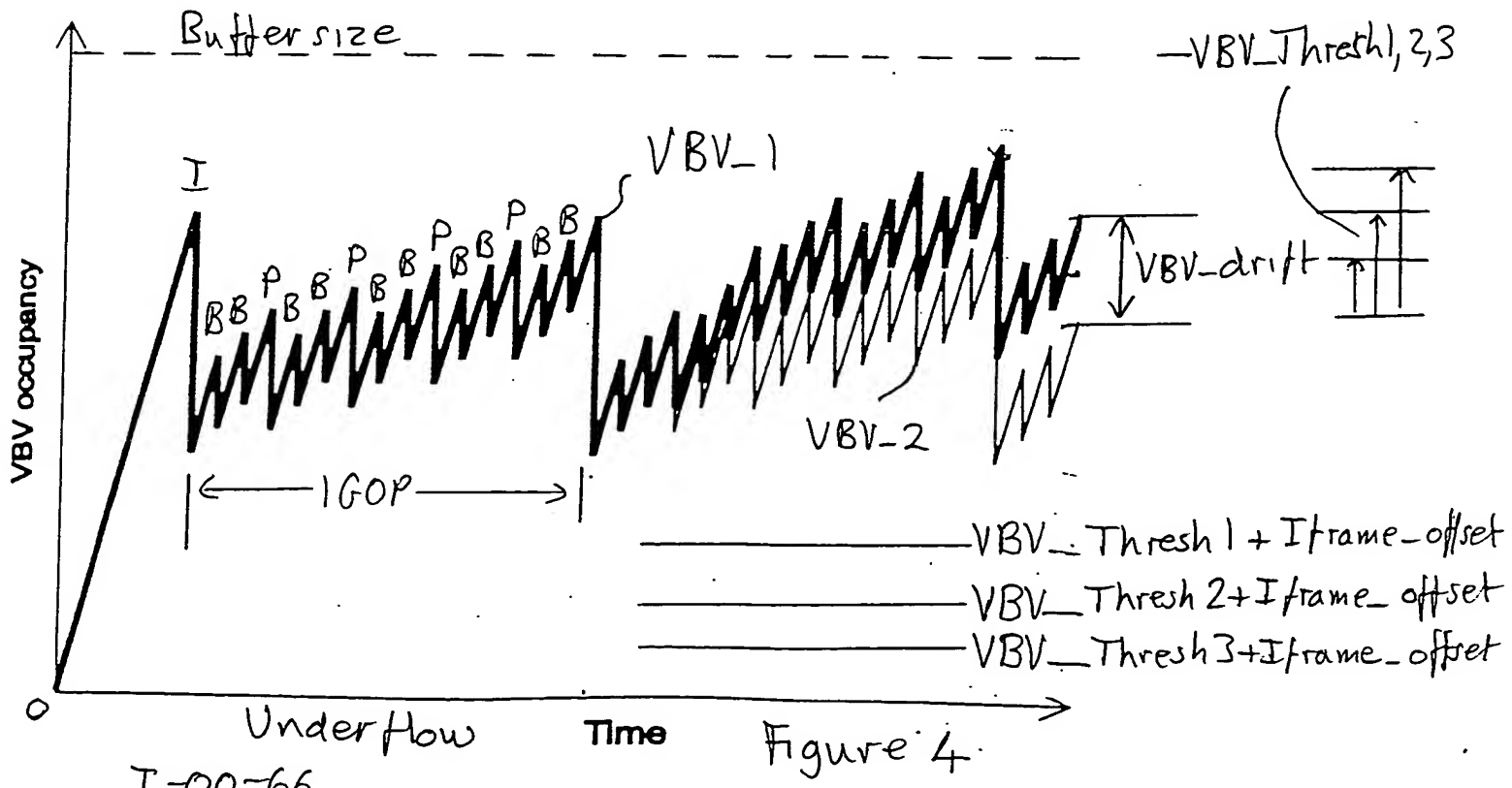
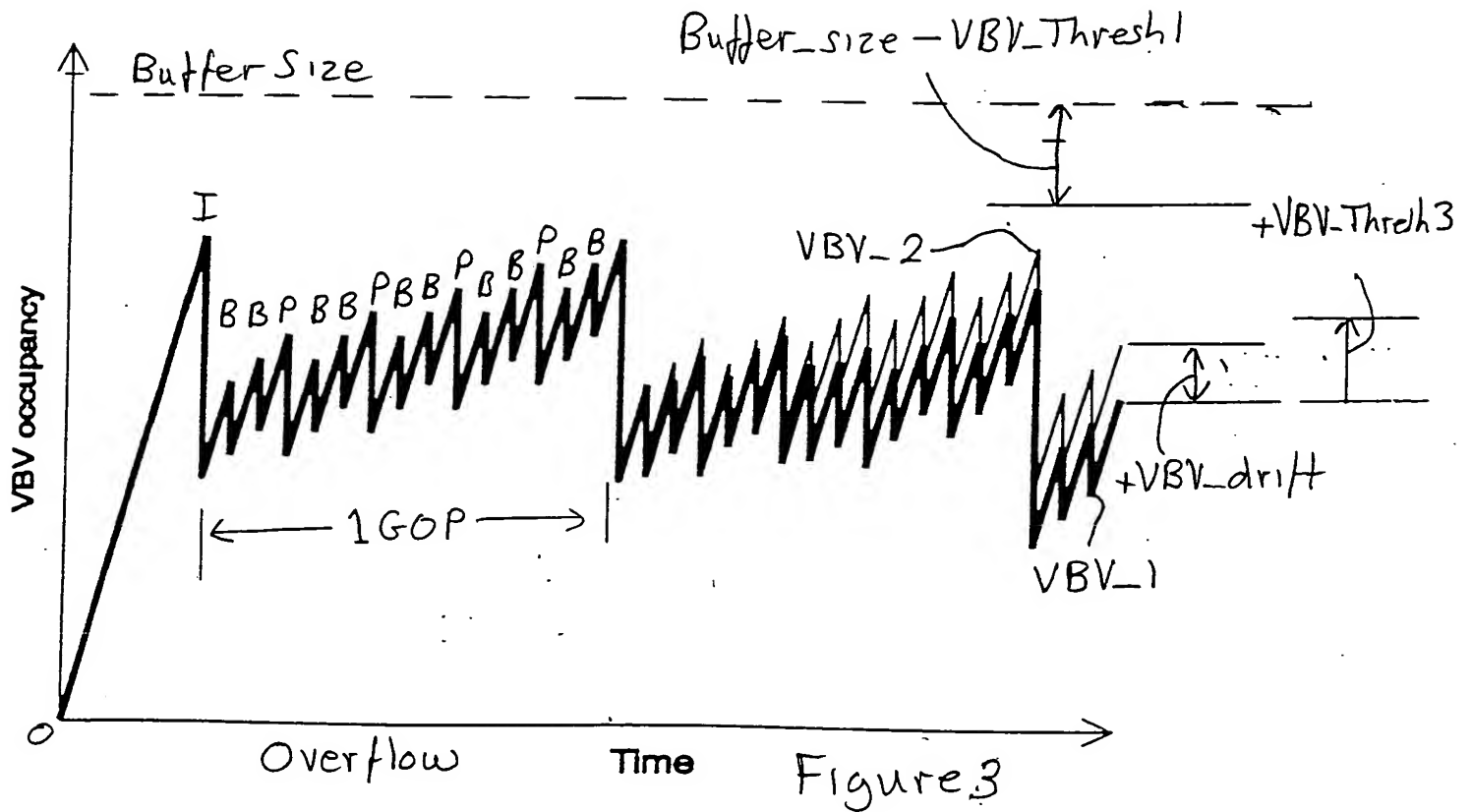


Figure 2

THIS PAGE BLANK (USPTO)



THIS PAGE BLANK (USPTO)

FIG. 8

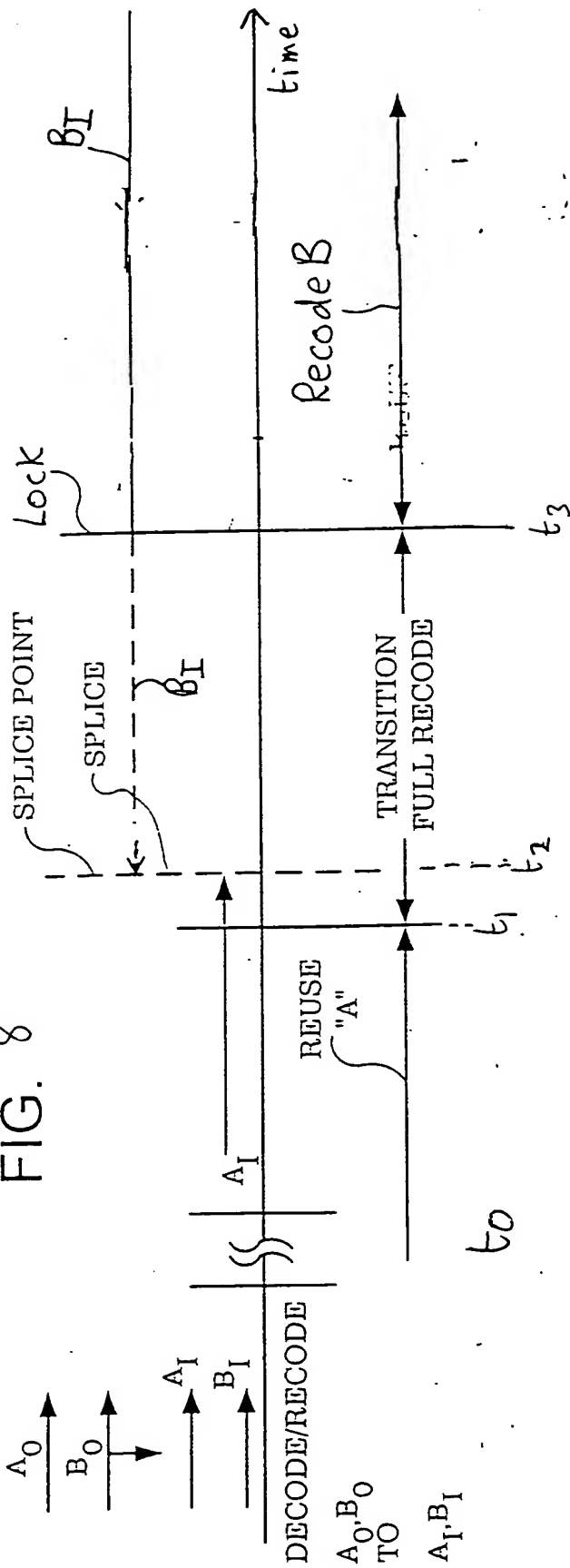
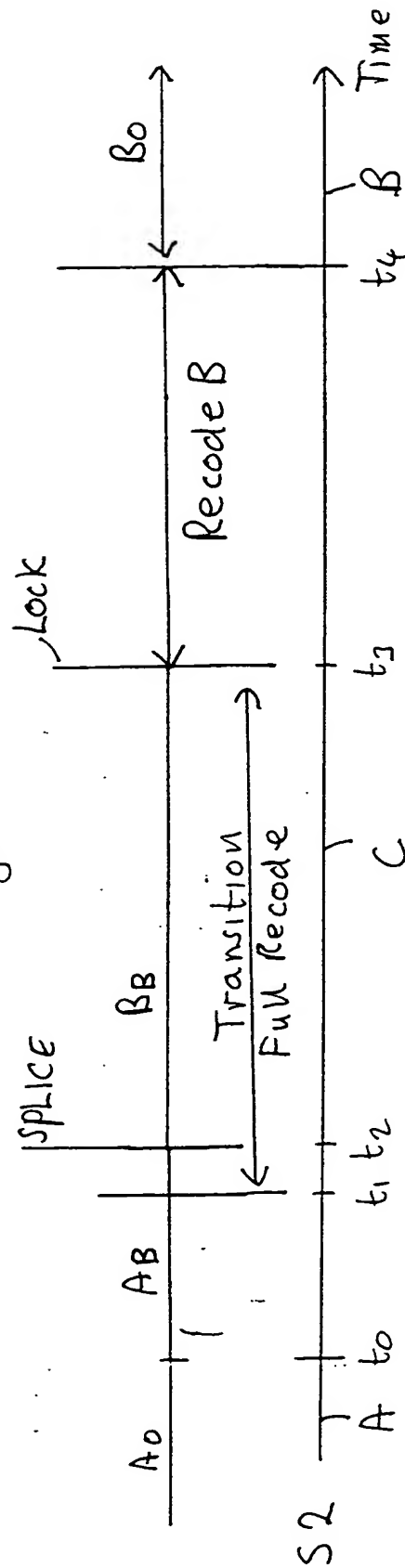


Figure 6



THIS PAGE BLANK (USPTO)